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UTILITY PATENT APPLICATION TRANSMITTAL with FEE TRANSMITTAL	Attorney Docket No.	AMPC 4992																																							
	First Named Inventor or Application Identifier Amy Sue Kransteuber																																								
	Title:	A Real-Time Optical Correlating System																																							
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APPLICATION ELEMENTS		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231																																							
TO THE COMMISSIONER OF PATENTS AND TRADEMARKS: Transmitted herewith for filing is the patent application of <u>Amy Sue Kransteuber and Don A. Gregory</u>																																									
Enclosed are: <table><tr><td>1. <input checked="" type="checkbox"/></td><td>Specification (including claims)</td><td>[Total Pages 11]</td><td>7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</td></tr><tr><td>2. <input checked="" type="checkbox"/></td><td>Drawings (3 copies of each page)</td><td>[Total Pages 2]</td><td>8. <input type="checkbox"/> Power of Attorney</td></tr><tr><td>3. <input checked="" type="checkbox"/></td><td>Oath or Declaration (Original)</td><td>[Total Pages 2]</td><td>9. <input type="checkbox"/> Other:</td></tr><tr><td>4. <input checked="" type="checkbox"/></td><td>Information Disclosure Statement</td><td>[Total Pages 1]</td><td></td></tr><tr><td>5. <input checked="" type="checkbox"/></td><td>Return Receipt Postcard</td><td></td><td></td></tr><tr><td>6. <input checked="" type="checkbox"/></td><td>Filing Fee (hereinbelow)</td><td></td><td></td></tr></table>			1. <input checked="" type="checkbox"/>	Specification (including claims)	[Total Pages 11]	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	2. <input checked="" type="checkbox"/>	Drawings (3 copies of each page)	[Total Pages 2]	8. <input type="checkbox"/> Power of Attorney	3. <input checked="" type="checkbox"/>	Oath or Declaration (Original)	[Total Pages 2]	9. <input type="checkbox"/> Other:	4. <input checked="" type="checkbox"/>	Information Disclosure Statement	[Total Pages 1]		5. <input checked="" type="checkbox"/>	Return Receipt Postcard			6. <input checked="" type="checkbox"/>	Filing Fee (hereinbelow)																	
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FEE TRANSMITTAL ELEMENTS <table><thead><tr><th colspan="3">CLAIMS AS FILED *(Column 1)      *(Column 2)</th></tr><tr><th>FOR</th><th>NUMBER FILED</th><th>NUMBER EXTRA</th></tr></thead><tbody><tr><td>BASIC FEE (UTILITY)</td><td></td><td></td></tr><tr><td>TOTAL CLAIMS</td><td>15 minus 20 =</td><td>* 0</td></tr><tr><td>INDEPENDENT CLAIMS</td><td>2 minus 3 =</td><td>* 0</td></tr><tr><td colspan="3">MULTIPLE DEPENDENT CLAIM PRESENT</td></tr></tbody></table> <table><thead><tr><th>FOR</th><th>SMALL ENTITY OR</th><th>LARGE ENTITY OR</th></tr><tr><th></th><th>FEES CODE</th><th>FEES PAID</th></tr></thead><tbody><tr><td>BASIC FEE (UTILITY)</td><td></td><td>101 \$ 710.00</td></tr><tr><td>TOTAL CLAIMS</td><td></td><td>0</td></tr><tr><td>INDEPENDENT CLAIMS</td><td></td><td>0</td></tr><tr><td>MULTIPLE DEPENDENT CLAIM PRESENT</td><td></td><td>0</td></tr><tr><td></td><td>TOTAL</td><td>TOTAL \$ 710.00</td></tr></tbody></table> <p>* If the difference in col. 1 is less than zero, enter "0" in col. 2.</p>			CLAIMS AS FILED *(Column 1)      *(Column 2)			FOR	NUMBER FILED	NUMBER EXTRA	BASIC FEE (UTILITY)			TOTAL CLAIMS	15 minus 20 =	* 0	INDEPENDENT CLAIMS	2 minus 3 =	* 0	MULTIPLE DEPENDENT CLAIM PRESENT			FOR	SMALL ENTITY OR	LARGE ENTITY OR		FEES CODE	FEES PAID	BASIC FEE (UTILITY)		101 \$ 710.00	TOTAL CLAIMS		0	INDEPENDENT CLAIMS		0	MULTIPLE DEPENDENT CLAIM PRESENT		0		TOTAL	TOTAL \$ 710.00
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CORRESPONDENCE ADDRESS Commander U. S. Army Aviation and Missile Command ATTN: AMSAM-L-G-I (FMBush) Redstone Arsenal, Alabama 35898-5000		<p><i>Hay Kyung Chang</i> oct. 12, 2000 date Hay Kyung Chang Reg. Number 32,972</p>																																							
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Inventors: Amy Sue Kransteuber and Don A. Gregory

Title of Invention: A Real-Time Optical Correlating System

Serial Number:

Filing Date:

5 AMPC Docket Number: 4992

## A REAL-TIME OPTICAL CORRELATING SYSTEM

### 10 DEDICATORY CLAUSE

The invention described herein may be manufactured, used and licensed by or for the Government for governmental purposes without the payment to us of any royalties thereon.

### 15 BACKGROUND OF THE INVENTION

In traditional holography and holographic image processing, the interference pattern is recorded on high-resolution film. More recently, digital computation of holograms has become possible if information about the object and the desired reconstruction angle is known. With either of these methods, however, reconstructing a viewable image from a stored hologram requires that either the film be developed, which can take an hour or more to process and dry or that the digitally computed hologram be displayed on a high-resolution electrically addressable display device which can require on the order of several minutes to compute the hologram from a collection of digitized two dimensional images. (A third technique, which is not truly holographic, employs several projectors and a rotating spiral screen to produce a real, three-dimensional image capable of being viewed on the screen from any angle.)

For years, holography has been exploited in optical pattern recognition through optical correlation. In optical correlation applications, the holographic

filter must be captured optically on film or computed digitally and displayed on high-resolution devices, just as in the traditional process alluded to above with all the attendant time and processing limitations. Therefore, most of the filters computed today are not true holographic filters containing both amplitude and phase information but are phase conjugating filters that contain only phase information. The phase conjugating filters, though not containing as much information as holographic filters, are used because they are easier to compute.

## SUMMARY OF THE INVENTION

A Real-Time Optical Correlating System 200 (hereinafter referred to as the "System") as described in detail below produces holograms that contain both amplitude and phase information yet have none of the time constraints of the traditional holographic methods. It has been demonstrated to be operable at television field rates (60 Hz). Using the System, the holographic matched filter of input scene 139 is calculated optically as an analog sum, captured by first charge-coupled device (CCD) camera 125 and transmitted via computer 127 to and displayed on a commercially available liquid crystal display (LCD) device 137. The holographic reconstruction may be viewed immediately on suitable screen 151 because there is no film to process or computer calculations to be performed. Concurrently with the creation of the holographic matched filter of the input scene, a Fourier transform of test scene 141 is produced and both are imaged on second charge-coupled device camera 129 for any correlation between the input and test scenes. Since the System operates at television field rates, the holographic matched filter can be updated every  $\frac{1}{60}$  of a second.

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## DESCRIPTION OF THE DRAWING

Figure 1 shows a configuration of components used in recording and reconstructing a hologram of an input scene.

Figure 2 illustrates a preferred embodiment of the Real-Time Optical Correlating System.

## DESCRIPTION OF THE PREFERRED EMBODIMENT

5 Referring now to the drawing wherein like numbers represent like parts in each of the figures and arrows indicate optical paths, Figure 1 shows the part of the Real-Time Optical Correlating System that produces and reconstructs a hologram of input scene 139. Since Figure 2 subsumes Figure 1 as a necessary part of the entire Real-Time Optical Correlating System, subsequent explanation  
10 of the operation of the System is given with reference mostly to Figure 2.

### I. Recordation and Reconstruction of a hologram of input scene 139.

Initially, a suitable laser source 101, such as a 15-milliwatt neodymium-doped yttrium vanadate laser (Nd:YVO<sub>4</sub>), emits an original laser beam of an appropriate wavelength, such as 532 nanometers. It is directed by first mirror 103 to impinge on spatial filter 105 whereby it is cleaned and rendered to be more Gaussian in nature. Thence, the original beam travels through collimating lens 107 which may be any positive lens and through linear polarizer 109 which ensures that the beam is linearly polarized before it is incident on second mirror 111. From the second mirror, the original beam impinges on first beamsplitter 113 and is divided thereby into two portions, one portion being reflected and sent toward third mirror 119 and the other portion being transmitted through the first beamsplitter toward second beamsplitter 115. The transmitted portion is further divided by the second beamsplitter into an object beam and a reference beam to be incident on fourth mirror 121 and fifth mirror 143, respectively.

25 From the fourth mirror, the object beam travels through input scene 139 which is then encoded onto the object beam, through first lens combination 131 and third beamsplitter 123 which, like the first and second beamsplitters, may be non-polarizing cube beamsplitters. At the third beamsplitter, the object beam is

intersected at a small angle by the reference beam reflected from fifth mirror 143 and is recombined with the reference beam at first charge-coupled device (CCD) camera 125 (example: PULNiX model TM-7CN). This recombination forms an optical interference pattern on the first CCD. Half-wave plate 117 is used to  
5 rotate the polarization of the reference beam so that at the first CCD, the polarizations of the reference and object beams are parallel.

The lens combination 131 may form at the first CCD a scaled Fourier transform pattern or a Fresnel diffraction pattern of the input scene. Adjusting the lens combination (focal lengths and separation) and the location of the input  
10 scene allows the creation of a hologram of the input scene or of the Fourier transform or Fresnel transform of the input scene. However, for the use of System 200 as a correlator, it is essential to create a holographic matched filter of the input scene. Such a holographic matched filter is created when first lens combination 131 is positioned between the input scene and first CCD 125 so that  
15 the first lens combination is one effective focal length away from the input scene as well as one effective focal length away from the first CCD. In this configuration, the optical interference pattern formed at the first CCD is a holographic matched filter of the input scene.

Upon formation, the holographic matched filter is detected by first CCD 125 which, in response, generates corresponding video signals. The video signals are captured via wire connection 147 by a framegrabber card (example: Data Translation board, DT 2255-60Hz) residing in computer 127 and either stored therein or further transmitted. If further transmitted, the signals are sent, via conventional wire connection 106, to converter 145 which converts the signals to  
20 video that can be displayed at liquid crystal display (LCD) 137 (example: Kopin LVGA Evaluation Model). One of the benefits of passing the video signals through the computer is that before the signals are sent to the LCD, they may be modified to achieve, for instance, scaling or contrast enhancement. Another  
25 benefit is that if the holograms are stored, they can be quickly recalled and sent

to the LCD as necessary. However, if storage or modification is not desired, the video signals can be transmitted directly from CCD 125 to converter 145 through wire connection 102 and still achieve real-time operation of the System. All wire connections consist of conventional wires.

5 When the light that is reflected by first beamsplitter 113 is steered by third mirror 119 to illuminate LCD 137, the light is encoded, upon passing through the LCD, with information about the optical interference pattern now displayed on the LCD. This light illuminating the LCD is similar to the reference beam used during the holographic formation. Generally, illumination  
10 of a hologram with a beam similar to one of the constituent beams (in this case, the reference beam) creates (diffracts) light that is proportional to the other constituent beam (here, the object beam), these similar beam and proportional light together forming a small angle at the LCD. An observer can view the recreated input scene as it is imaged on screen 151. Lens 153 may assist in the  
15 imaging of the input scene on the screen.

## **II. Optical Correlation of Input Scene 139 and Test Scene 141.**

An optical correlator compares previously recorded or stored information (scenes) with scenes of interest (test scenes). The magnitude of the optical correlation signified by the correlation peak that appears on second CCD 129 indicates how closely the scene of interest matches a stored scene. When the hologram displayed on LCD 137 is a holographic matched filter made from the Fourier transform of the input scene 139, it can be used as a part of the operation of System 200 as an optical correlator. Simultaneously with or subsequent to the creation and display on the LCD of the holographic matched filter of input  
20 scene 139, a Fourier transform of test scene 141 is created and displayed on LCD 137 by positioning second lens combination 133 between the test scene and the LCD at its effective focal length away from both the test scene and the LCD.  
25 Thereafter correlation of the two scenes is incident on second CCD 129. Third

lens combination 135 positioned its effective focal length away from both the LCD and the second CCD focuses the correlation plane at the second CCD.

The joint use of high-resolution first CCD 125 and phase modulating liquid crystal display unit 137 allows real-time holograms to be detected and  
5 displayed. The hologram may be updated as quickly as the System's slowest component's update rate. CCD 125, the computer framegrabber and the LCD chosen for this System all operate at field rate (60 Hz), so the System can update and reconstruct the input scene every  $\frac{1}{60}$  of a second. This is immensely faster than the several minutes to an hour or more delay for computer-generated or  
10 traditionally recorded holograms.

The Real-Time Optical Correlating System described above employs currently available devices of moderate resolution, so the autocorrelations are limited to simple objects. However if the trend in device technology development continues, holograms of more complex and detailed objects may  
15 soon be processed with the System. Possible applications include product inspection and three-dimensional displays. Another application of the pattern recognition capability of the System is processing radar signals for target cueing or identifying aircraft for air traffic control since it is not necessary to have a scene, in a visual sense, for the proper operation of the System. Any signal can  
20 be used as the input.

Therefore, although a particular embodiment and form of this invention has been illustrated, it is apparent that various modifications and embodiments of the invention may be made by those skilled in the art without departing from the scope and spirit of the foregoing disclosure. Accordingly, the scope of the  
25 invention should be limited only by the claims appended hereto.

## CLAIMS

We Claim:

1. A real-time optical correlating system, said system comprising: a means for recording a holographic matched filter of an input scene; a means for reconstructing said holographic matched filter; a means for connecting said recording and reconstructing means; a means for creating a Fourier transform of a test scene, said Fourier transform being incident on said reconstructing means; and a means for viewing said holographic matched filter and said Fourier transform together for correlation between said input and test scenes.
2. A real-time optical correlating system as set forth in claim 1, wherein said recording means comprises: a source of original laser beam; a means for providing a reference beam and an object beam from said original laser beam, said object beam having encoded thereon said input scene; a means for encoding said object beam with said input scene; a means for combining said reference and object beams to produce an interference pattern of said input scene, said reference and object beams together forming a first beam angle of a pre-selected magnitude; and a first charge-coupled device (CCD) camera for detecting said interference pattern and, in response thereto, produce corresponding video signals.
3. A real-time optical correlating system as set forth in claim 2, wherein said system further comprises: a first beamsplitter positioned to receive and divide said original laser beam issuing from said source into a first beam portion and a second beam portion.
4. A real-time optical correlating system as set forth in claim 3, wherein said means for providing a reference beam and an object beam comprises a second beamsplitter for dividing said first beam portion.

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5. A real-time optical correlating system as set forth in claim 4, wherein said encoding means comprises a first lens combination, said first lens combination being positioned one effective focal length away from said input scene in one direction and one effective focal length away from said first CCD in the opposite direction.
  6. A real-time optical correlating system as set forth in claim 5, wherein said reconstructing means comprises a liquid crystal display (LCD) positioned to intercept said second beam portion.
  7. A real-time optical correlating system as set forth in claim 6, wherein said connecting means comprises a computer coupled between said first CCD and said LCD, said computer having a framegrabber therein and receiving said video signals from said first CCD and transmitting said signals to said LCD to be imaged thereon as a holographic matched filter of said input scene upon the incidence of said second beam portion on said LCD.
  8. A real-time optical correlating system as set forth in claim 7, wherein said means for creating a Fourier transform of a test scene is a second lens combination positioned one effective focal length away from the test scene in one direction and one effective focal length away from said LCD in the opposite direction, said test scene and said second lens combination being aligned to intercept said second beam portion prior to the incidence of said second beam portion on said LCD to create thereon a Fourier transform of said test scene.
  9. A real-time optical correlating system as set forth in claim 8, wherein said means for viewing said holographic matched filter and said Fourier transform for correlation between said input and test scenes comprises a second charge-coupled device (CCD) and a third lens combination, said third lens combination being positioned between said LCD and said second CCD, one effective focal length away from either, said second

CCD being adapted to receive said holographic matched filter and said Fourier transform from said LCD and image them together for correlation therebetween.

10. A real-time optical correlating system as set forth in claim 9, wherein said system still further comprises several mirrors located strategically so as to steer said beams along pre-determined paths within said system.
11. A real-time optical correlating system, said system comprising: a means for making and displaying an interference pattern of an input scene; a means for creating a Fourier transform of a test scene; and a means for viewing said interference pattern and said Fourier transform together for correlation between said input and test scenes.
12. A real-time optical correlating system as set forth in claim 11, wherein said making and displaying means comprises: a Mach-Zehnder architecture for providing a reflected beam, a reference beam and an object beam, said reference and object beams subsequently combining to yield said interference pattern of an input scene; a liquid crystal display (LCD) unit coupled to receive said interference pattern, said LCD unit being aligned to be illuminated by said reflected beam and creating a light proportional to said object beam in response to said illumination; and a means for receiving said reflected beam and said proportional light from said LCD and producing therefrom an observable holographic image of the input scene.
13. A real-time optical correlating system as set forth in claim 12, wherein said means for creating a Fourier transform of a test scene comprises a test scene placed in the path of said reflected beam prior to its incidence on said LCD unit and a lens combination, said lens combination being positioned between said LCD unit and said receiving means and one effective focal length away from said LCD unit and said receiving means.

14. A real-time optical correlating system as set forth in claim 13, wherein said reference and object beams, upon combination, form a first angle and said reflected beam and said proportional light form a second angle upon departure from said LCD unit toward said receiving means.
15. A real-time optical correlating system as set forth in claim 14, wherein said receiving means is a charge-coupled device suitable for viewing correlation plane.

## **ABSTRACT**

A Real-Time Optical Correlating System produces holograms that contain both amplitude and phase information and have none of the time constraints of the traditional holographic methods. It has been demonstrated to operate at television field rates (60 Hz) employing currently available devices of moderate resolution. Using the System, the holographic matched filter of an input scene is calculated optically as an analog sum, captured by a charge-coupled device (CCD) camera and transmitted directly or through a computer to and displayed on a commercially available liquid crystal display (LCD) device. The correlation plane may be viewed immediately on a suitable screen because there is no film to process or computer calculations to be performed. Concurrently with the creation of the holographic matched filter of the input scene, a Fourier transform of a test scene is produced and both are imaged on another charge-coupled device camera for any correlation between the input and test scenes.

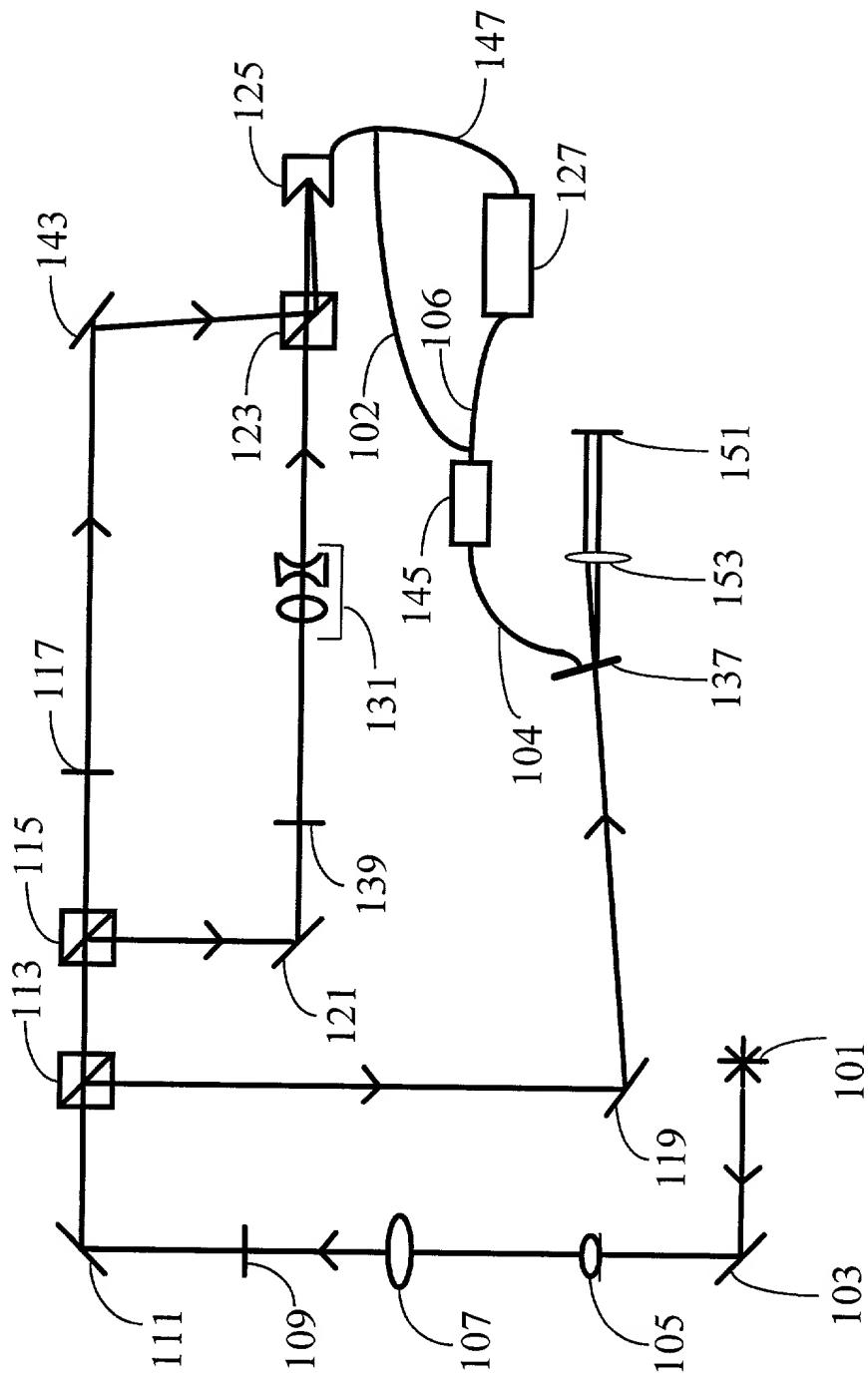


Figure 1 (Prior Art)

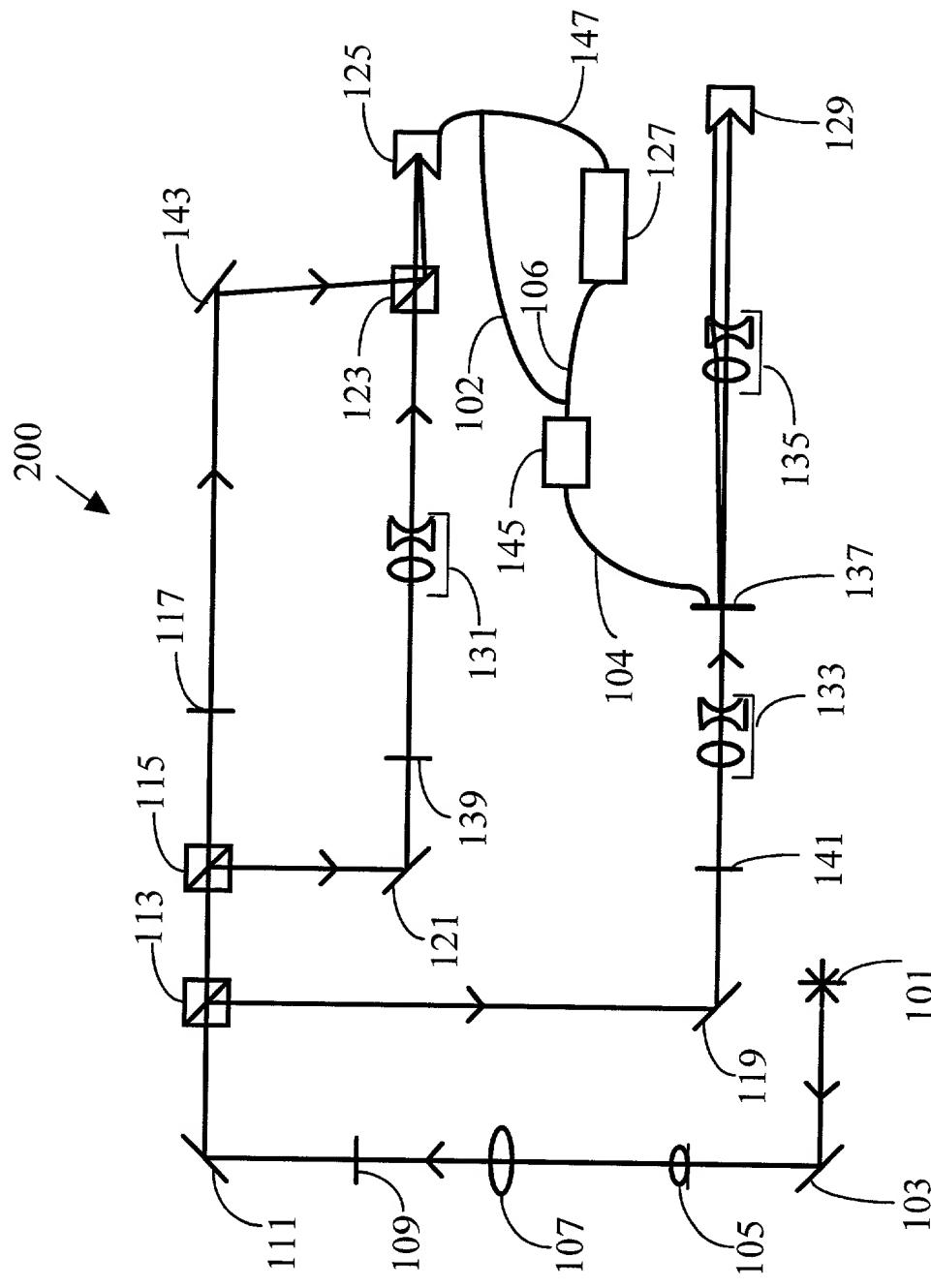


Figure 2

Docket No. AMPC 4992  
DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A Real-Time Optical Correlating System

the specification of which is attached hereto unless the following box is checked:

was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

Yes

No

\_\_\_\_\_ (Number)

\_\_\_\_\_ (Country)

\_\_\_\_\_ (Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

\_\_\_\_\_ (Application Number)

\_\_\_\_\_ (Filing Date)

\_\_\_\_\_ (Status--patented, pending, abandoned)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

\_\_\_\_\_ (Application Number)

\_\_\_\_\_ (Filing Date)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Arthur H. Tischer, Reg. No.: 24,263; Freddie M. Bush, Reg. No.: 27,616; and Hay Kyung Chang, Reg. No.: 32,972

Address all telephone calls to Hay Kyung Chang at telephone number (256) 876-5109

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Commander  
U.S. Army Aviation and Missile Command  
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Redstone Arsenal, AL 35898-5000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name): Amy Sue Kransteuber

Inventor's signature Amy Sue Kransteuber Date 10.12.00

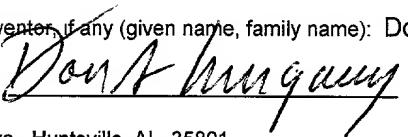
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- x) Additional inventors are being named on separately numbered sheets attached hereto.

DECLARATION FOR PATENT APPLICATION  
(INVENTOR SIGNATURE CONTINUATION SHEET)

Full name of second joint inventor, if any (given name, family name): Don A. Gregory

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Date 10/12/02

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Third Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

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Post Office Address:

Citizenship \_\_\_\_\_

Full name of fourth joint inventor, if any (given name, family name):

Fourth Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Residence:

Post Office Address:

Citizenship \_\_\_\_\_

Full name of fifth joint inventor, if any (given name, family name):

Fifth Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Residence:

Post Office Address:

Citizenship \_\_\_\_\_

Full name of sixth joint inventor, if any (given name, family name):

Sixth Inventor's signature \_\_\_\_\_

Date \_\_\_\_\_

Residence:

Post Office Address:

Citizenship \_\_\_\_\_